

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark L. Mathis

Serial No.: Unknown

Serial No. of Parent App: 09/855,946

Filing Date of Parent App: May 14, 2001

Title: MITRAL VALVE THERAPY ASSEMBLY AND METHOD

Examiner/Unit: Unknown

Attorney Docket No.: 1931-4-5

CERTIFICATE OF EXPRESS MAILING

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97 (as amended)

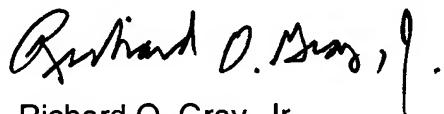
TO THE COMMISSIONER FOR PATENTS:

In compliance with Applicant's and his attorney's duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information of which they are aware and which they believe may be material to the examination of this application.

While this Information Disclosure Statement may disclose "material" information pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

Respectfully submitted,

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Substitute for form 1449A/PTO				<i>C mplete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Unknown
				Filing Date	
				First Named Inventor	Mark L. Mathis
				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	1931-4-5

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-2002/0103533 A1	08/01/02	Langberg et al.	
		US- 2002/0151961 A1	10/17/02	Lashinski et al.	
		US- 2002/0169504 A1	11/14/02	Alfernness et al.	
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		US- 6,027,517	02/22/00	Crocker et al.	
		US- 6,077,295	06/20/00	Limon et al.	
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		US- 5,824,071	10/20/98	Nelson et al.	
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		US- 6,210,432 B1	04/03/01	Solem et al.	
		US- 2001/0018611 A1	08/30/01	Solem et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Examiner Name	
<i>(use as many sheets as necessary)</i>		Attorney Docket Number	
Sheet	2	of	2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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